

# **ISO 31000 Lead Auditor Program**

## **Risk Management System (RMS)**

### **Training Program**



ISO 31000 Lead Auditor Risk Manager training enables you to gain comprehensive and coherent knowledge of the fundamental principles, framework and process of Risk Management based on ISO 31000. During this training course, you will also gain a thorough understanding of the best practices of Risk Management and be able to effectively apply them in an organization in order to successfully implement a Risk Management process.

After becoming familiar with all the necessary concepts of Risk Management, you can sit for the exam and apply for a “PECB Certified ISO 31000 Risk Manager” credential through us as Partner Organization. By holding a PECB Certificate, you will demonstrate that you have the practical knowledge and skills to effectively manage a risk process in an organization.

### **Who should attend?**

- Managers or consultants responsible for the effective management of risk within an organization
- Individuals seeking to gain comprehensive knowledge of Risk Management concepts, processes and principles
- Advisors involved in Risk Management

### **Learning objectives**

- Understand the fundamental concepts and processes of Risk Management
- Acknowledge the correlation between ISO 31000, IEC/ISO 31010 and other standards and regulatory frameworks
- Comprehend the approaches, methods and techniques used to manage risk within an organization

- Learn how to interpret the principles and guidelines of ISO 31000

## **Educational approach**

- This training is based on both theory and best practices used in Risk Management
- Lecture sessions are illustrated with examples based on case studies
- Practical exercises are based on a case study which includes role playing and discussions
- Practice tests are similar to the Certification Exam

## **Prerequisites**

A fundamental understanding of ISO 31000 and comprehensive knowledge of Risk Management.

### **This Program is ideally suited to following individuals who are:**

- Individuals concerned about Risk Management
- Individuals seeking to gain knowledge about the main processes of Risk Management Systems (RMS)
- Technical experts seeking to prepare for an Risk Management System audit
- Expert advisors in Risk Management

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**Program is offered by: 3D Educators – Trainers & Consultants**

**3D EDUCATORS**  
**TRAINERS & CONSULTANTS**

# Program Details

## Inauguration

The Training Program will be inaugurated by a senior member of 3DEducators

## Program Structure

Self-Study Program	
Material	Provided by us through PECB Canada
Examination	Conduct By Us via PECB Canada

## Other Learning Activities:

Develop the implementation techniques of ISO guidelines In your organization	1
Presentations by Trainees	1

## About the Program Instructor

ISO 3100 Lead Implementer and Auditor, PECB QMS Registered Lead Auditor, BRC Approved Lead Auditor, The Trainers who are conducting this program have the following positions in the different organization:

- ✓ Lead Auditors
- ✓ ISO Consultants
- ✓ Lead Auditors Accredited Trainers

Highly qualified and experienced tutors with extensive hands-on and successful experience in implementation of ISO 31000 Standards

They trainers are foreign qualified and having the degrees of PhD, MBA, M.Sc., MS. More they are also the member of ISO.

## Program Syllabus

**Day 1** Introduction to ISO 31000 and risk management process

**Day 2:** Risk identification, assessment, risk evaluation and treatment according to ISO 31000

**Day 3:** Risk acceptance, communication, consultation and monitoring according to ISO 31000

**Day 4:** Risk assessment methodologies according to IEC/ISO 31010

**Day 5:** Certification Exam

### Examination

The "PECB Certified ISO 31000 Lead Auditor" exam fully meets the requirements of the PECB Examination and Certification Programme (ECP).

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## Certification

After successfully completing the exam, you can apply for the credentials shown on the table below.  
You will receive a certificate once you comply with all the requirements related to the selected credential.

For more information about ISO/IEC 31000 certifications and the PECB certification process, please refer to the Certification Rules and Policies.

<b>Credentials</b>	<b>Exam</b>	<b>Professional Experience</b>	<b>ISM Audit Experience</b>	<b>Other Requirements</b>
PECB Certified ISO/IEC 31000 Professional Auditor	PECB Certified ISO/IEC Lead Auditor Exam or Equivalent	None	None	Signing the PECB Code of Ethics
PECB Certified ISO/IEC 31000 Auditor	PECB Certified ISO/IEC Lead Auditor Exam or Equivalent	<b>Two Years:</b> One Year of Working Experience in Anti-bribery Management System	Audit Activities: a total of 200 hours	Signing the PECB Code of Ethics
PECB Certified ISO/IEC 31000 Lead Auditor	PECB Certified ISO/IEC Lead Auditor Exam or Equivalent	<b>Five Years:</b> Two Year of Working Experience in Anti-bribery Management System	Audit Activities: a total of 300 hours	Signing the PECB Code of Ethics
PECB Certified ISO/IEC 31000 Master	PECB Certified ISO/IEC Lead Auditor Exam or Equivalent and PECB Certified ISO/IEC 31000 Lead Implementer Exam or Equivalent	<b>Ten Years:</b> Six Year of Working Experience in Anti-bribery Management System	Audit Activities: a total of 300 hours	Signing the PECB Code of Ethics

## General information

- Certification fees are included on the exam price
- Training material containing over 450 pages of information and practical examples will be distributed
- A participation certificate of 31 CPD (Continuing Professional Development) credits will be issued
- In case of exam failure, you can retake the exam within 12 months for free

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## **TERMS & CONDITIONS**

### **WITHDRAWAL FROM THE CERTIFICATION**

Students are not allowed to withdraw from the CERTIFICATION. If a student cannot continue the CERTIFICATION his/her fee will be forfeited.

### **CONDUCT AND DISCIPLINE**

A disciplinary action, leading to rustication, will be taken against students whose conduct is found objectionable at any time during the course of study. Reference will be made to 3D Educators code of conduct.

### **EVALUATION AND GRADING**

The performance of students is evaluated through continuous observation of a student's performance in the CERTIFICATION – class participation, submission of assignments, quizzes and exercises.

The student will be examined through three hourly exams conducted at the midterm and a final exam at the end of the program. Total marks for passing the CERTIFICATION will be 70 out of a total of 100.

Students who do not meet the attendance or any other eligibility criteria will not be allowed to appear in the final examination.

The following grading plan will be applicable for the CERTIFICATION:

<b>A</b>	<b>87 - 100</b>
<b>B+</b>	<b>81 - 86</b>
<b>B</b>	<b>72 - 80</b>
<b>C+</b>	<b>66 - 71</b>
<b>C</b>	<b>60 - 65</b>
<b>F</b>	<b>below 60</b>

Students who are unable to appear for the final exam are required to submit a written application stating the reason for not appearing for the exam. 3D EDUCATORS reserve the right to approve or deny such applications. If approved, the student will be allowed to sit for the exam within one month. Failure to do so, the student will be resubmit the



examination fee and sit the future schedule exam. Without passing of the exams no certification will be awarded.

## **SAMPLE CERTIFICATE**



*When Recognition Matters*



### Professional Evaluation and Certification Board

hereby attests that

**Wai Ming Yu**

is awarded the title

## PECB Certified ISO/IEC 27001 Lead Auditor

having met all the certification requirements, including all examination requirements, professional experience and adoption of the PECB Code of Ethics

**Certificate Number:** ISLA1002899-2014-02  
**Issue Date:** February 14th, 2014  
This certificate is valid for three years for the purpose of PECB certification

**Issued by:** Faton Tony Aliu, President  


